



RAN-0397

T.Y.B.Com. (Hons.) (Sem.VI) Examination

March / April - 2019

CE-635 FINANCIAL MARKETING OPERATION PAPER-IV

सूचना : / Instructions

(1)

नीचे दृशविले निशानीवाणी विगतो उत्तरवली पर अवश्य लभवी.
Fill up strictly the details of signs on your answer book

Name of the Examination:

T.Y.B.Com. (Hons.) (Sem.VI)

Name of the Subject :

CE-635 FINANCIAL MARKETING OPERATION PAPER-IV

Subject Code No.: 0 3 9 7

Seat No.:

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Student's Signature

(2) Figures to the **right** indicate full marks of the question.

(3) All questions are **compulsory**.

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| 1 | Answer in brief: | 10 |
| | (i) What powers do SEBI have? | |
| | (ii) What is short selling? | |
| | (iii) State two features of NSE. | |
| | (iv) What is red herring prospectus? | |
| | (v) What is portfolio management? | |
| 2 | Who are underwriters? Explain types of underwriters. | 13 |
| 3 | Which are the key factors considered by Credit Rating Institutions?
List out prominent agencies in India. | 13 |
| 4 | Discuss briefly some of the innovative financial instruments introduced
in recent times in the financial service sector. | 14 |